



[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH

Serial No. : 09/447,319

Filed : November 22, 1999

SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT

Examiner : Hani M. Kazimi

Group Art Unit : 3624

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date 4/19/2003Atty's Reg. # 33,865

Atty's Signature _____

AARON C. DEDITCH

AMENDMENT

SIR:

In response to the Office Action mailed on January 15, 2003 (the three-month response date for which is April 15, 2003), please reconsider the above-identified application based on the following:

IN THE CLAIMS:

Without prejudice, please replace/amend the claims as follows:

1. (Amended) A method for trading an instrument, comprising the steps of:
providing a request for a price of the instrument from a customer to a plurality of users via a communication network, the request including request data concerning the instrument;

receiving at least one response to the request from the plurality of users, the at least one response including price data;

providing the at least one response to the customer via the communication network;
determining a best price response to the request as a function of the at least one response;

providing a first indication to the user who provided the best price response; and
providing a second indication to the users who did not provide the best price response, the first and second indications being different from one another;

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Adjustment date: 04/25/2003 MMEKONEN 00000003 110600 09447319
04/23/2003 MMEKONEN 00000003 110600 09447319
01 FC:1806 180.00 CR



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For : **SYSTEM AND METHOD FOR
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Group Art Unit : 3624

Date *4/14/2003* Amy's Reg. # *33,865*

Amy's Signature

AARON C. DEDITCH

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

SIR:

Transmitted herewith for filing in the above-identified patent application is an Amendment.

The Commissioner is authorized to charge fees of \$180.00 for the ten (10) net additional dependent claims to Kenyon & Kenyon Deposit Account No. 11-0600, and is also authorized as appropriate and/or necessary, to charge any additional fees (including any other Rule 136(a) extension fees) or credit any overpayment to Kenyon & Kenyon Deposit Account No. 11-0600. One duplicate copy of this transmittal letter is enclosed for your response.

Dated: 4/14/2003

Respectfully submitted,

By:

Aaron C. Deditch
(Reg. No. 33,865)

RECEIVED
APR 29 2003
GROUP 3600

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